**CARL J. KUNASEK** CHAIRMAN

> JIM IRVIN COMMISSIONER

WILLIAM A. MUNDELL COMMISSIONER



ARIZONA CORPORATION COMMISSION



DIRECION

**SECURITIES DIVISION** 1300 West Washington, Third Floor Phoenix, AZ 85007-2996 TELEPHONE: (602) 542-4242 FAX: (602) 594-7470 E-MAIL: accsec@ccsd.cc.state.az.us

## **NEW**

## MEMORANDUM

TO:

Sally D'Antimo

**Docket Control** 

DOCKET NO. RS - 00000 A . 00 - 0822

FROM:

Sharleen Day

Securities Division

DATE:

October 17, 2000

RE:

Docket number for proposed rulemaking

Please issue a docket number for proposed amendments to A.A.C. R14-4-114 and A.A.C. R14-4-115 ("rules 114 and 115"). Rule 114 specifies the recognized manuals within the meaning of that term in the manual exemption in A.R.S. § 44-1844(A)(11). Rule 115 specifies the recognized securities exchanges within the meaning of that term in the exchange exemption in A.R.S. § 44-1843(A)(7).

The distribution list for this docket should include:

Mark Sendrow, Director Securities Division Cheryl T. Farson, General Counsel Securities Division Jennifer Boucek, Assistant Attorney General Sharleen Day, Associate General Counsel Securities Division

Ms. Tamara Cain Reed **Investment Company Institute** 1401 H. Street, NW Washington DC 20005-2148

Thank you. If you need additional information, please do not he sitate to telephone me at 602 542-0679.